

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HORI, et al.

Filed: April 6, 2000

For: LIPID METABOLISM IMPROVING AGENT



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98

Assistant Commissioner for Patents  
Washington, D. C. 20231

April 6, 2000

Sir:

Pursuant to applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with prior application Serial No. 09/106,004, filed June 29, 1998.

Since application Serial No. 09/106,004, filed June 29, 1998, is being replied upon under 35 USC 120 in connection with the above-identified application, copies of the listed documents are not enclosed.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

In view of all of the foregoing, it is respectfully submitted that all pertinent requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with the listed documents. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 506.35379CC2) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
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William I. Solomon  
Registration No. 28,565

1300 North Seventeenth Street  
Suite 1800  
Arlington, VA 22209  
Tel.: 703-312-6600  
Fax.: 703-312-6666

WIS/slk  
Enclosure

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DKT. NO.  
506.35379CC2

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

## APPLICANT

MORI, et al.

FILING DATE

April 6, 2000

GROUP

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA						
AB						
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AD						
AE						
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AG						
AH						
AI						
AJ						
AK						
AL						

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AM	61-152632	7-86	Japan				
AN							
AO							
AP							
AQ							
AR							
AS							
AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	The Dictionary of Modern Medicine, J.C., Segen, Ed. Publishing Gr. P. 280, 394, 1994.
AV	Sugano, J. Nutrit, 120, p. 977, 1990.
AW	Sugano, Atherosclerosis, 72, p. 115-122, 1988.
AX	Williams, Perspectives in Biol. Med., 27, p. 417, 1984.
AY	Sirtori, Ann. Nutr. Metab. 29, p. 348, 1985.
AZ	Nagaoka, Agric. Biol. Chem 3, p. 813, 1991.

Examiner	Date Considered